

## **APPENDIX K**

### **Annual Certification of Compliance with NPDES Permit No. CAS029998**

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM  
ANNUAL CONSTRUCTION ACTIVITY CERTIFICATION

PART 1 - TO BE COMPLETED BY CONTRACTOR

CALTRANS CONTRACT NUMBER AND PROJECT NAME		WASTE DISCHARGE IDENTIFICATION NUMBER
CONTRACTOR'S NAME	CONTRACTOR'S ADDRESS OF RECORD	CONTRACTOR'S TELEPHONE NUMBER
CONSTRUCTION STARTING DATE	CONSTRUCTION COMPLETION DATE	COUNTY, ROUTE, POST MILES

DESCRIPTION OF WORK

WORK CONDUCTED DURING LAST 12 MONTHS:

WORK NOW IN PROGRESS:

WORK PLANNED FOR NEXT 12 MONTHS:

CERTIFICATION BY CONTRACTOR

Based upon inspections of the project site over the last 12 months, or since commencement of construction on the project if less than 12 months ago, the undersigned hereby certifies that the pollutant controls identified in the SWPPP approved for the project site and the work described above are adequate and properly implemented in accordance with the terms of the Contract Special Provisions. *I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.*

CONTRACTOR'S SIGNATURE

DATE

PART 2 - TO BE COMPLETED BY RESIDENT ENGINEER

RESIDENT ENGINEER'S FINDINGS

I, and/or personnel acting under my direction and supervision, have inspected the project site and the work described above and find as follows:

- |    |                              |                             |   |
|----|------------------------------|-----------------------------|---|
| 1. | <input type="checkbox"/> YES | <input type="checkbox"/> NO | Storm water pollution control measures are being implemented in accordance with the SWPPP approved for the project.                                 |
| 2. | <input type="checkbox"/> YES | <input type="checkbox"/> NO | The project site and activities thereon are in compliance with NPDES General Permit No. CAS000002 or local NPDES permits, which ever is applicable. |

WHEN BOTH 1 AND 2 ABOVE ARE CHECKED "YES", THE RESIDENT ENGINEER MUST COMPLETE THE ANNUAL CERTIFICATION BELOW. IF EITHER 1 OR 2 ABOVE ARE CHECKED "NO", THE RESIDENT ENGINEER MUST: FILE A NOTICE OF NONCOMPLIANCE WITHIN 30 DAYS OF IDENTIFICATION OF THE NONCOMPLIANCE; DOCUMENT FOLLOW UP ACTIONS BELOW; NOTIFY THE CONTRACTOR; AND INITIATE CORRECTIVE ACTIONS IN ACCORDANCE WITH THE CONTRACT SPECIAL PROVISIONS AND THE STANDARD SPECIFICATIONS. INSTRUCTIONS FOR COMPLETING THE ANNUAL CERTIFICATION OR NOTICE OF NONCOMPLIANCE, FOR NOTIFYING THE CONTRACTOR, AND INITIATING CORRECTIVE ACTIONS ARE CONTAINED IN THE CALTRANS STORM WATER QUALITY HANDBOOKS - CONSTRUCTION STAFF GUIDE.

RESIDENT ENGINEER'S FOLLOW UP ACTIONS

CERTIFICATION BY CALTRANS

*I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.*

RESIDENT ENGINEER'S SIGNATURE

DATE